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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/807,646	03/23/2004	Larry Hood	155694-0148	4640
1622 75	90 08/03/2006		EXAM	INER
IRELL & MANELLA LLP 840 NEWPORT CENTER DRIVE SUITE 400			JOHNSON III, HENRY M	
			ART UNIT	PAPER NUMBER
NEWPORT BE	ACH, CA 92660		3739	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)		
	10/807,646	HOOD ET AL.		
Office Action Summary	Examiner	Art Unit		
	Henry M. Johnson, III	3739		
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING D/ Extensions of time may be available under the provisions of 37 CFR 1.1: after SIX (6) MONTHS from the mailing date of this communication.  If NO period for reply is specified above, the maximum statutory period versiliure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be timwill apply and will expire SIX (6) MONTHS from a cause the application to become ABANDONE	l. ely filed the mailing date of this communication. O (35 U.S.C. § 133).		
Status				
Responsive to communication(s) filed on <u>05 Ju</u> This action is <b>FINAL</b> . 2b) ☐ This     Since this application is in condition for alloware closed in accordance with the practice under E	action is non-final.			
Disposition of Claims				
4) ⊠ Claim(s) <u>1,2,4,6-8,10,12,13 and 15-28</u> is/are p 4a) Of the above claim(s) is/are withdray 5) □ Claim(s) is/are allowed. 6) ⊠ Claim(s) <u>1,2,4,6-8,10,12,13 and 19-28</u> is/are re 7) ⊠ Claim(s) <u>15-18</u> is/are objected to. 8) □ Claim(s) are subject to restriction and/o	wn from consideration.			
Application Papers				
<ul> <li>9) The specification is objected to by the Examine 10) The drawing(s) filed on 23 March 2004 is/are: Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Example 11.</li> </ul>	a) $\square$ accepted or b) $\square$ objected to drawing(s) be held in abeyance. See tion is required if the drawing(s) is obj	e 37 CFR 1.85(a). ected to. See 37 CFR 1.121(d).		
Priority under 35 U.S.C. § 119				
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>				
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:			

#### Response to Arguments

Applicant's arguments filed June 5, 2006, with respect to the rejection(s) of claims under 35 USC § 102 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of U.S. Patent 5,643,921 to Hood et al. As indicated by the applicant, Borgmeier et al. does not teach a tip with means for limiting insertion depth. Hood et al. '921 disclose such a tip for an electrosurgical instrument for use on an eye in figure 22.

#### Claim Objections

Claim 17 is objected to as unclear for the term "stopping the stop".

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claims 1, 2, 4, 6, 7, 8, 10, 12, 13 and 19-28 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 6,090,107 to Borgmeier et al. in view of U.S. Patent 5,643,921 to Hood et al. Borgmeier et al. teach an electrosurgical instrument which includes a

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reusable electrode shaft, a disposable tip and a novel, insulated coupling for removably connecting the disposable tip to the electrode shaft (abstract). A body portion (Fig. 4, # 70) with a detent that acts as a stop (Fig. 4, # 72) receives an electrode assembly inserted into the body. A distal portion of the electrode assembly (Fig. 4, # 50) engages the stop in the body and is shaped to inhibit rotation. The body portion is disclosed as made of plastic (dielectric). The tip and body are coupled to an elongated probe (Fig. 1). The attachment of the tip to the probe is broadly interpreted as adapted to be inserted into a probe. Borgmeier et al. does not teach a tip with a means for limiting insertion depth. Hood et al. '921 disclose a tip for an electrosurgical instrument for use on an eye that includes a portion surrounding the tip (Fig. 22, # 364) that provides a limit for the insertion depth of the tip into a cornea (Fig. 22). It would have been obvious to one skilled in the art to use the tip with insertion limiting means as taught by Hood et al. '921 in the invention of Borgmeier et al. as a replaceable tip for a specific application, the application being applying energy to a cornea for which Hood et al. '921 clearly teaches the depth limiting tip.

Regarding claims 4, 10, 19 and 22, welding yields a product by process claim and is given limited weight for patentability. Further, once the tip is welded, the stop limitation of the base is structurally irrelevant.

### Allowable Subject Matter

Claims 15, 16 and 18 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

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#### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Henry M. Johnson, III whose telephone number is (571) 272-4768. The examiner can normally be reached on Monday through Friday from 6:00 AM to 3:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda C. Dvorak can be reached on (571) 272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

lehry M. Johnson, III Primary Examiner

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